

Tax Software and OFX Import Instructions



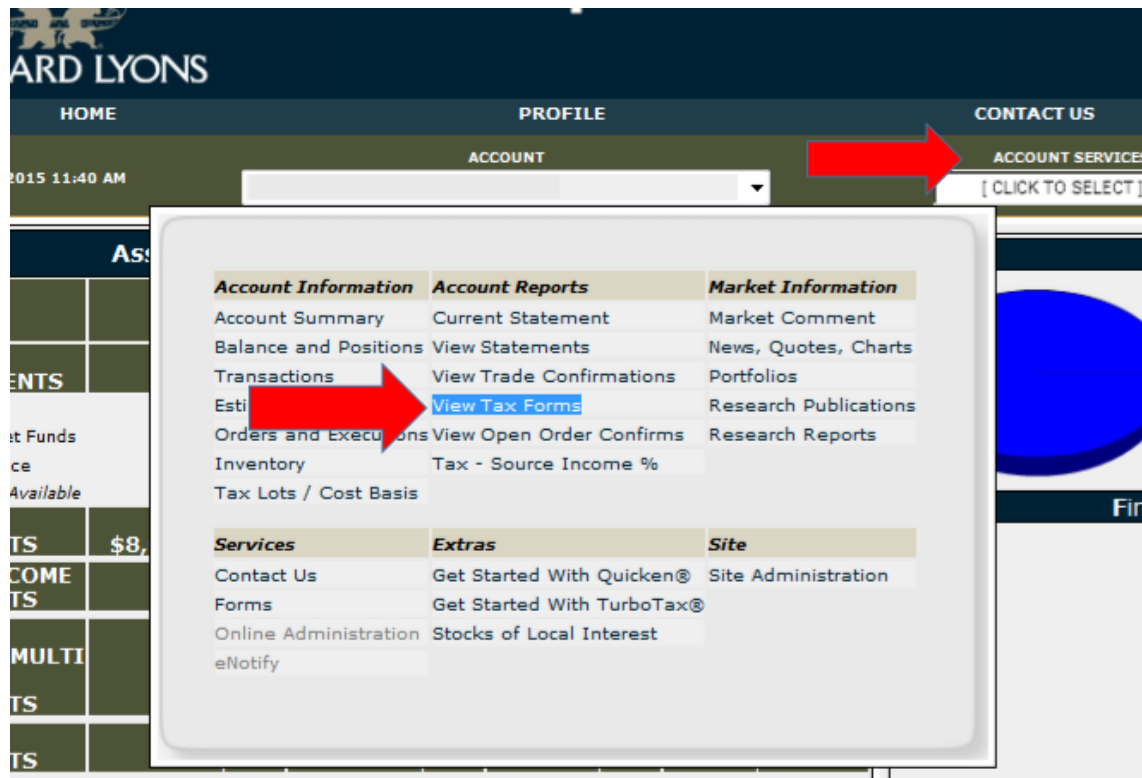
HILLIARD LYONS

J.J.B. HILLIARD, W.L. LYONS, LLC | MEMBER NYSE, FINRA & SIPC

Hilliard Lyons clients can import certain tax-related data from their Hilliard Lyons Tax Information Statements into tax preparation software in Open Financial Exchange, OFX format.

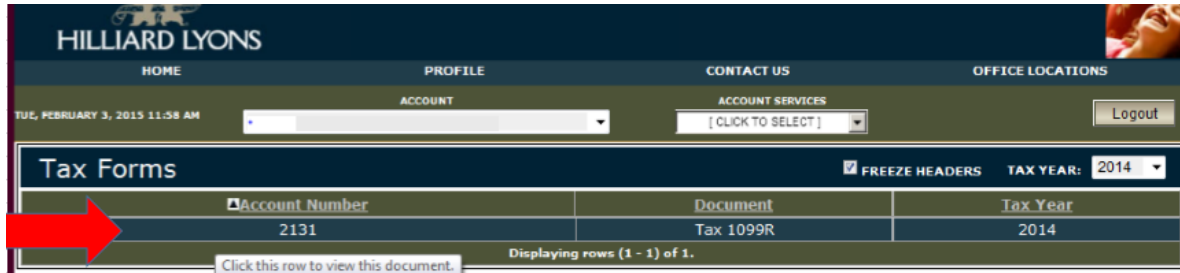
The following information is available for import: 1099-DIV, 1099-INT, 1099-MISC, 1099-OID, 1099-B, REMIC/WHMT, and 1099-R.

Important: To import your tax information from Hilliard Lyons, you *must* have your Hilliard Lyons Tax Information Statement. We mail statements as they are completed in accordance with IRS regulations. But you can also download your statement from www.hilliard.com. Log in to your Hilliard Lyons account and select “View Tax Statement” from the Account Services menu.




By importing tax software or data, you are agreeing to the Terms and Conditions on Page 4.

Ensure the appropriate tax year is reflected for the desired 1099 Tax Statements.



To import your information, you will need to enter your credentials for the software to recognize you and validate your access to your account information. Your Hilliard Lyons Account Number and Document ID can be found on your 1099 Tax Statement.

 HILLIARD LYONS <small>J.J.B. HILLIARD, W.L. LYONS, LLC MEMBER NYSE, FINRA & SIPC</small> 500 West Jefferson Street, Suite 700 Louisville, KY 40202 PAYER'S Federal ID No: 26-2333067	Tax Information Account 12345678	Statement Date: 02/12/2016 Document ID: 1234 567 89AB	2015
	CLIENT NAME CLIENT ADDRESS CITY, STATE ZIP CODE RECIPIENT'S ID No: XXX-XX- 1234	YOUR FINANCIAL CONSULTANT FINANCIAL CONSULTANT NAME (123) 555-1234 Office Code: xx12 Rep Code: xx	

As a Hilliard Lyons client, you and your tax preparer can also download your Hilliard Lyons Tax Statement (e.g., Forms 1099-DIV, -INT, -B, -MISC, and -OID) directly into a CSV (Comma Separated Values) file. A downloaded CSV file can be transferred electronically into most professional tax accounting software. Access the [Hilliard Lyons Tax Download landing page and instructions](#) to begin.

Terms and Conditions

Hilliard Lyons can provide assistance and support for information appearing on your 1099 documents. For support with use of your tax preparation software, please contact the software vendor.

Tax software vendors are not affiliated with Hilliard Lyons and are solely responsible for the information they provide. Hilliard Lyons cannot guarantee that their information and content are accurate, complete, or timely and makes no warranties, guarantees, or representations regarding the results obtained from using it.

It is important to understand that the tax information imported into your tax preparation products may not be all the information required for you to properly complete the investment income portion of your federal and/or state tax return. It is essential that you complete the Interview and/or Review processes completely to ensure that all imported information is correctly reported to the IRS and/or the state(s) to which you report.

Be sure to verify the information that you import with your own records to ensure accurate, complete reporting of all required tax information on your federal and state tax returns. Although Hilliard Lyons is providing the tax information import as an additional service, Hilliard Lyons does not guarantee that the information provided is sufficient for tax reporting purposes, and Hilliard Lyons is not liable for your reliance on or use of the import feature or the data provided through such feature. If you have specific questions regarding the preparation of your tax return, contact your tax advisor, the IRS, or the state(s) to which you report.

The printed Hilliard Lyons 1099 tax forms are the official record of 1099 information maintained at Hilliard Lyons. Hilliard Lyons disclaims any liability arising from the use of tax preparation software or the content furnished by those vendors, including any resulting information obtained from that use, or interpretations of information made as a result of that use.

If you need help, please contact your Hilliard Lyons Financial Consultant.

You can find a directory of Hilliard Lyons Financial Consultants on our corporate website at www.hilliard.com under [Branch Office Listing](#).



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